

1 **Abstract: The dynamics of the human gut microbiome in PCOS and its therapeutic potential**

2 Duha AlAwad & Nada Al-Emadi

3 **Background**

4 Polycystic ovary syndrome (PCOS) is one of the most common endocrine disorders affecting 6-10% of
5 women of reproductive age. Due to the complex nature of the disease, its pathophysiology is poorly
6 understood, limiting the development of treatments. PCOS hallmarks are hyperandrogenism and
7 anovulation. It is also often accompanied with metabolic dysfunction which is thought to play a role in
8 its etiology. Dysbiosis, an imbalance in gut microbiota, has been linked to the development of different
9 metabolic diseases. Recent studies have shown that women with PCOS suffer from gut dysbiosis.
10 However, the gut microbiota (GM) in PCOS is not well characterized due to the limited number of
11 studies and the substantial variability in results. Evidence linking GM with PCOS development
12 indicate that restoring the GM using fecal microbiota transplant (FMT) could provide a treatment for
13 PCOS. We hypothesize that gut dysbiosis can initiate and aggravate hormonal imbalances seen in
14 PCOS and re-establishing a healthy GM through FMT could provide a novel therapeutic strategy.

15 **Aims**

- 16 1. Characterize the composition and function of the GM in PCOS in an ethnically diverse cohort
17 using a multi-omics approach and screen for PCOS biomarkers.
- 18 2. Utilize mouse models to study the effect of modifying the GM using FMTs on the development
19 and resolution of PCOS.
- 20 3. Explore the use of FMT colonoscopy as a therapeutic strategy for the treatment of PCOS.

21 **Methodology**

22 Study participants will include healthy women and PCOS patients who will be selected according to
23 the Rotterdam criteria. Stool and blood samples will be collected and analyzed. Metagenomics,
24 metaproteomics, and metabolomics will be used to characterize the GM. Blood samples will be
25 analyzed for a comprehensive hormone panel, HbA1c, and metabolites. Mice trials will be conducted
26 using pre-pubertal germ-free female mice in different treatment groups receiving: FMT from healthy
27 women, FMT from PCOS patients, or letrozole. The mice will be monitored for PCOS development
28 and metabolic syndrome features for four weeks. After which, stool samples will be collected, and
29 mice with a PCOS phenotype will receive FMTs from healthy women by means of oral gavage. Mice
30 will be monitored similarly until the end of treatment. Lastly, PCOS patients will partake in clinical
31 trials to evaluate the efficacy of FMT colonoscopy as a potential therapy for PCOS. The patients will
32 be monitored for metabolic changes and changes in the GM for 6 months.

33 **Expected results**

34 We expect PCOS patients to have GM dysbiosis with decreased microbial diversity and unique
35 metabolic/proteomic profile. Mice studies will reveal the possibility of inducing and treating PCOS in
36 mice by modifying the GM using FMTs. Clinical trials on PCOS patients will establish evidence on
37 the use of FMT as a novel therapeutic strategy for PCOS. Our study will provide valuable insight on
38 the gut microbiome-PCOS interplay and demonstrate FMT as a novel treatment for PCOS.

39 Character count = 2993

Research Proposal

Targeting the gut microbiome to treat Polycystic Ovary Syndrome

Background

Polycystic ovary syndrome (PCOS) is the most common female endocrine disorder affecting 6-10% of women of reproductive age¹. It is characterized by metabolic and reproductive dysfunctions. There are several diagnostic criteria for PCOS, however, Rotterdam criteria is most frequently used. For a patient to be diagnosed following Rotterdam criteria, they must present with at least two of the following three manifestations: oligo/anovulation, clinical and/or biochemical hyperandrogenism, and polycystic ovary morphology on ultrasound. In addition, underlying conditions that could cause any of the previous symptoms must be excluded². In addition, women with PCOS often suffer from reproductive dysfunctions leading to infertility³. The impact of PCOS extends beyond the reproductive system as PCOS patients often suffer from metabolic syndrome features such as insulin resistance, obesity, and/or low-grade inflammation¹. As a result, women with PCOS have an increased risk of developing cardiovascular disease, type 2 diabetes mellitus, non-alcoholic fatty liver disease and cancer¹. Moreover, the diagnosis of PCOS is challenging due to a lack of consensus on the diagnostic criteria and the variability of the clinical features in women of different ages⁴. Furthermore, the etiology of PCOS is poorly understood due to the complexity of the syndrome. The heterogeneity of the disorder points towards the involvement of developmental, genetic, epigenetic, and environmental factors⁵. Lastly, we are yet to find a cure for PCOS. Current treatment methods rely on the management of symptoms and monitoring risk factors associated with the disease. Overall, these factors emphasize the need to better understand the pathophysiology of PCOS, identify reliable biomarkers, and develop novel therapeutic strategies to combat this challenging syndrome.

The gut microbiome (GM) is a collection of a diverse community of archaea, bacteria, protozoa, viruses, and fungi that are continuously interacting with the gut of host. Each person has a unique microbial composition dictated by their health, diet, and age⁶. The bacteria are the most abundant microorganisms found in the GM. The most abundant bacterial phyla seen in healthy individuals are Firmicutes and Bacteroides⁷. Disturbances in healthy microbiota that lead to adverse effects on the host are termed dysbiosis. Changes in the microbiome are often assessed by α diversity, which indicates the number of species and their abundance in a sample, and β diversity, which is a measure of similarity between two different sample populations⁸. Research highlighting the potential role of gut dysbiosis in the development of different metabolic disorders led to the birth of a hypothesis linking it to PCOS development. In 2012, Tremellen & Pearce formed a hypothesis that, GM imbalances could result in insulin resistance which ultimately alters the function of the ovaries leading to hyperandrogenemia⁹. Following this theory, in 2016 the first evidence for GM changes in PCOS emerged. Mice induced with PCOS using letrozole (PCOS inducer) showed a significant decrease in both α and β diversity when compare to the control group¹⁰. This study indicated the potential role of host steroid hormone levels (including androgens) on modulating GM composition. In addition, PCOS patients displayed a similar decrease in α and β diversity of their GMs when compared to healthy women¹¹. A recent systemic review assessing all studies done on human PCOS patients identified a total of 10 publications comparing PCOS patients with healthy controls¹². It revealed substantial variability in the results that are likely due to small sample sizes and the use of different analytical methods. Some studies show significant decrease in α diversity and β diversity of PCOS when compared to healthy women, while others show no significant difference in either one or two of the parameters between PCOS patients and healthy women¹². Although these studies cumulatively indicate a change in microbial composition in PCOS patients, there is no consensus on the observed

85 alterations, or an identification of specific microbial taxa that is significantly altered in PCOS. The
86 previously mentioned studies rely on metagenomics to identify the microbial composition of the GM in
87 PCOS. This method only provides information on the taxonomical structure of the microbiota.
88 Utilizing metaproteomic and metabolomic approaches complementary to metagenomics would provide
89 a powerful insight on the mechanisms underlying the observed changes in microbial communities in
90 PCOS.

91 Considering the established relationship between gut dysbiosis and disease development, a variety of
92 therapeutic strategies have been developed to restore a healthy GM to treat disease. Among these
93 methods is fecal microbiota transplant (FMT) which employs the transfer of fecal microbes from a
94 healthy donor to a recipient to restore a healthy GM composition. FMT is currently considered one of
95 the treatment strategies used for *Clostridium difficile* infections¹³. Guo *et al.* investigated the effect of
96 FMT from healthy women on letrozole treated rats. PCOS rats showed an improved estrous cycle and
97 restored normal ovarian morphology after FMT treatment¹⁴. Additionally, to understand the role of
98 GM in PCOS development, Qi *et al.* used FMTs from PCOS patients and healthy women on antibiotic
99 treated mice. Mice receiving FMTs from PCOS patients developed PCOS-like phenotype with
100 dysregulated ovarian function and insulin resistance. Although this study is the first to provide a
101 potential causal role of GM dysbiosis in PCOS development, the gut microbial compositions in mice
102 after antibiotic treatment and before FMT was not reported¹⁵. Despite the scarcity of research and
103 variability between studies, one can infer that the GM could be involved in the development of PCOS.
104 Consequently, the main objectives of our study are to characterize and functionally define the GM in
105 PCOS patients using a multi-omics approach and explore FMT as a novel therapeutic strategy to treat
106 the disease. We hypothesize that gut dysbiosis can initiate and aggravate hormonal imbalances seen in
107 PCOS and re-establishing a healthy GM through FMT is a potential novel therapeutic strategy.

108 **Aims**

- 109 1- Characterize the composition and function of the GM in PCOS in an ethnically diverse cohort
110 using a multi-omics approach and screen for PCOS biomarkers.
- 111 2- Study the effect of FMTs from PCOS patients on PCOS development in germ-free mice and
112 the reversal of these effects using subsequent FMTs from healthy women.
- 113 3- Explore the use of FMT colonoscopy as a therapeutic strategy for the treatment of PCOS.

114 **Methodology**

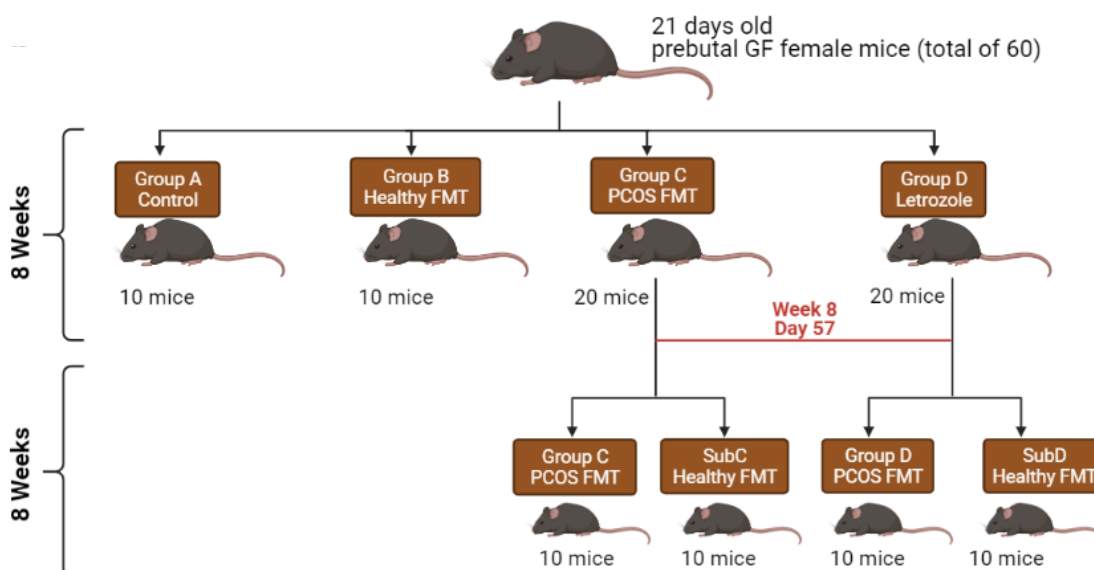
115 **Aim 1:** Characterize the composition and function of the GM in PCOS in an ethnically diverse cohort
116 using a multi-omics approach and screen for PCOS biomarkers.

117 We will recruit 500 healthy women as well as 500 PCOS patients aged 18 to 35 from ethnically diverse
118 backgrounds from Canada, Qatar, and Kenya. PCOS patients diagnosed based on the Rotterdam criteria
119 describes earlier will be recruited for this study. In addition, volunteers will be required to fill background
120 and dietary questionnaires. Participants taking antibiotics, birth control pills, or antidiabetic drugs will
121 be excluded from the study. Each participant will be required to submit stool and fasting blood samples
122 at weeks 1, 4, 8, 12 of the study. Fecal samples will be used to collect metagenomics, metaproteomics,
123 and metabolomics data from each sample. Genomic material will be extracted from fecal samples for
124 shotgun sequencing. In addition, high-performance liquid-chromatography mass-spectrometry (HPLC-
125 MS) will be used to detect the metabolites and proteins present in emulsified fecal samples. Blood samples
126 will be used to measure the levels of androgens, HbA1c, insulin, luteinizing hormone (LH), follicle
127 stimulating hormone (FSH) and metabolites. Measurements of HbA1c and insulin will allow us to detect

128 probable features of metabolic syndrome, while androgens, LH and FSH will help us monitor hormonal
 129 imbalances relative to PCOS. Hormonal levels will be compared with clinical reference ranges to allow
 130 accurate comparison between normal and abnormal levels. Stool and blood samples are collected at 4
 131 different timepoints in the span of 3 months to ensure that the microbiomes characterized are stable
 132 microbiomes.

133 **Aim 2:** Utilize mouse models to study the effect of modifying the GM using FMTs on the development
 134 and resolution of PCOS.

135 A total of 60 germ-free (GF) C57BL/6 pre-pubertal (21 days old) female mice will be divided into four
 136 groups. Group A, the control group, will consist of 10 mice which will receive PBS. Group B consists
 137 of 10 mice which will receive FMT from healthy volunteers. Group C will have 20 mice that will receive
 138 FMT from PCOS patients. Lastly, group D with 20 mice will receive letrozole, an aromatase inhibitor
 139 that is used to induce PCOS phenotypes in female mice by inhibiting the conversion of testosterone to
 140 estrogen¹⁶. Fecal samples will be collected and cultured to ensure the mice are germ-free prior to the
 141 experiment. On day 1, after the mice pass the culture test, they will be sorted into their respective groups
 142 and will receive PBS oral gavage, FMT oral gavage, or PBS oral gavage + a letrozole subcutaneous
 143 pellet. Fecal samples will be collected from the mice at weeks 0, 1, 2, 3, 4, 8, 12, and 16 to detect the
 144 establishment of the microbiome and follow its changes. Blood samples will be collected and ultrasound
 145 biomicroscopy will be performed on weeks 0, 1, 3, 8, 12, and 16. Daily vaginal smearing on all test
 146 groups will be done to monitor the estrous cycle by microscopic analysis. At week 8, a subgroup of 10
 147 mice from group C and 10 mice from group D will be selected to receive oral FMT gavage from healthy
 148 women volunteers. These subgroups will be referred to as subC and subD respectively. Fecal samples
 149 from mice in subC and subD will be collected at weeks 8, 9, 10, 11, 12, and 16. Blood samples collection
 150 and ultrasound biomicroscopy will be performed on weeks 8, 9, 11, 12, and 16 for these subgroups.
 151 Shotgun sequencing and HPLC-MS will be performed on fecal samples for metagenomic, metabolomic,
 152 and metaproteomic analysis. Blood samples will be analyzed for androgen levels, HbA1c, insulin, LH,
 153 FSH, and metabolites to monitor hormonal and metabolic changes over time. The time intervals between
 154 consecutive blood collections from mice is spaced out in order to allow the mice to recover from the
 155 blood loss and prevent the development of anemia. Multi-omics approach coupled with blood hormones
 156 and metabolites will allow for the detection of potentials associations between these parameters.
 157 Ultrasound biomicroscopy is used to safely monitor cyst development on the ovaries of the mice.



158 **Figure 1: Experimental setup for mouse trials.** 60 GF C57BL/6 pre-pubertal female mice are
159 divided into 4 experimental groups and monitored for 8 weeks. At the end of week 8, mice in groups C
160 and D are further divided into subgroup subC and subD and monitored for another 8 weeks. *GF*;
161 *Germ-free*. The illustrations were adapted from Biorender.

162 **Aim 3:** Explore the use of FMT colonoscopy as a therapeutic strategy for the treatment of PCOS.

163 200 PCOS Qatari patients between the ages of 18 and 25 from will be recruited for this clinical study.
164 Qatar has a high prevalence of PCOS estimated at 12.1%, making it an ideal location to conduct this
165 trial. Patients diagnosed based on Rotterdam criteria will be recruited for this study. Patients will receive
166 FMT colonoscopy and their gut microbiomes and PCOS symptoms will be monitored over the course of
167 6 months. The selection and screening of healthy female FMT donors as well as FMT sample preparation
168 will be done following the methodology described by OpenBiome¹⁷. Briefly, multiple samples will be
169 collected from screened healthy donors. These samples will be extensively cultured and bacterial strains
170 will be isolated to make concentrated FMT preparations. These preparations will undergo rigorous
171 quality control testing and identification. In addition to OpenBiome selection criteria, we will select for
172 women with who have a BMI between 18.5 and 24.9 with no current or risk of metabolic disorders.
173 Fecal samples will be collected from patients before the colonoscopy, and at weeks 1, 2, 4, 8, 12, 16, 20,
174 and 24. Blood samples will be collected and ultrasounds performed at weeks 1, 4, 12, 16, 20 and 24.
175 Shotgun sequencing and LC-MS will be performed on fecal samples for metagenomic, metaproteomic,
176 and metabolomic analyses. Blood samples will be analyzed for androgen levels, LH, FSH and
177 metabolites to monitor hyperandrogenemia, and menstrual hormones (FSH and LH). Ultrasounds are
178 used to monitor cyst development on the ovaries.

179 **Bioinformatic pipelines**

180 Metagenomic analysis will be performed using MicrobiomeAnalyst, a web-based user-friendly
181 framework created specifically for microbiome data analysis¹⁸. Metaproteomic analysis will be
182 performed following the MetaProteomeAnalyzer and ProPhane workflow described by Schiebenhoefer
183 et al.¹⁹. Untargeted metabolomics data will be analyzed using MetaboAnalyst²⁰. It is worth to mention
184 that data analysis will be limited by the information present in the databases at our disposal, however,
185 we believe that despite this limitation we will be able to decipher an intricate interplay between the gut
186 microbiome and PCOS. Additionally, we will use in-house scripts to assist in specific tasks during data
187 analysis as needed. Lastly, we will explore the possibility of building a model that is capable of
188 assisting physicians in diagnosing PCOS patients promptly and accurately using supervised machine
189 learning based on the parameters obtain from our results in aim 1.

190 **Expected Results**

191 Dissecting the gut microbiome in healthy women compared to PCOS patients will reveal complex
192 interactions between the microbiota and PCOS. We expect to find characteristic difference in α
193 diversity, β diversity and relative abundance of bacterial species between PCOS patients and healthy
194 women. Due to the discrepancies in previous research, however, we cannot deduce anticipated
195 increase/decrease in α or β diversities, however, we expect to see decreased abundance of lactobacilli.
196 Furthermore, by performing metaproteomics and metabolomics on stool and blood samples from
197 PCOS patients we will be able to determine the identity and quantity of different proteins/metabolites
198 in these samples. It is very likely that we will identify a potential biomarker that is modulated by the
199 gut microbiome and is specific to PCOS. Additionally, recruiting a large cohort from three different

200 countries will account for population diversity and aid in differentiating microbiome alterations
201 induced by PCOS from those attributed to lifestyle and dietary habits.

202 In accordance with previous studies, we expect the mice treated with FMT from PCOS patients to
203 develop phenotypes resembling those seen in PCOS¹⁴. We also expect that treatment with FMT from
204 healthy women will ameliorate the PCOS phenotype in both mice treated with PCOS-FMT and those
205 exposed to letrozole^{14,15}. Closely monitoring the microbiome and the development of PCOS in mice
206 will aid in investigating the potential causative relationship between the GM symbiosis and PCOS
207 development. If we observe a decrease in severity of PCOS symptoms after treating PCOS patients
208 with healthy FMT we will have further confirmation of a causative relationship. Furthermore, changes
209 in the functional capacity of bacterial populations as a healthy GM is established in PCOS patients
210 could be helpful in determining associations between bacterial populations and the
211 development/reversal of disease specific phenotypes. For example, a certain bacterial strain may be
212 highly abundant during high androgen blood levels, however, as this strain decrease in abundance after
213 FMT treatment, a decrease in androgen levels maybe observed. Unfortunately, this is merely a
214 speculation as there are not enough studies done on the subject to reach such a conclusion. We aim to
215 use the data collected from this study to provide the cornerstone for such speculations to become
216 conclusions.

217 We believe FMT colonoscopy will lead to marked decrease in androgen levels, regular ovulation, and
218 a reduction in ovarian cysts in PCOS patients. This study will also provide detailed analysis of the
219 metabolic and microbiome changes that occur as the severity of PCOS symptoms is reduced. Further
220 proving the effectiveness of restoring a healthy GM in treating PCOS patients.

221 **Research Impact**

222 PCOS is the most common female endocrine disorder. However, the diagnostic criteria for the disease
223 are variable leading to both overdiagnosis in females of reproductive age and underdiagnosis in
224 females at age extremes (childhood and perimenopause)²¹. The identification of a biochemical marker
225 for PCOS would provide a rapid diagnostic tool which will aid in early treatment. The etiology of the
226 disease is not yet identified due to the complex pathophysiology and different disease phenotypes¹.
227 Thus, to date, there is no cure for PCOS, and the available treatments usually target the symptoms of
228 the disease²¹. Therefore, it is imperative to identify the etiology of the disease to aid in the discovery
229 of targeted long-term treatments. The current literature linking PCOS and GM dysbiosis is limited and
230 has considerable variation²². Our study will provide a detailed characterization of the GM of a large
231 cohort from multiple countries, and it will shed light on the possible metabolic pathways/markers
232 involved which could provide the groundwork for further studies. We will also put forward a potential
233 therapeutic strategy for PCOS using FMT. These results will help improve the diagnosis of the disease
234 as well as its treatment resulting in lower morbidity and enhanced quality of life for women suffering
235 from PCOS.

236 **Expected Timeline**

237 Overall, the estimated duration of the study is between 3-5 years. We estimate that it will take 1-2
238 years to complete phase I depending on the speed of volunteer recruitment. Phase II will require 6
239 months to 1 year to complete the preclinical trials on mice. Depending on the recruitment efficiency,
240 we estimate that phase III will require a duration of 1-2 years to be completed.

241 **References**

- 242 1 Bozdag, G., Mumusoglu, S., Zengin, D., Karabulut, E. & Yildiz, B. O. The prevalence and
243 phenotypic features of polycystic ovary syndrome: a systematic review and meta-analysis.
244 *Human Reproduction* **31**, 2841-2855 (2016).
- 245 2 Teede, H. J. *et al.* Recommendations from the international evidence-based guideline for the
246 assessment and management of polycystic ovary syndrome. *Human reproduction* **33**, 1602-
247 1618 (2018).
- 248 3 Franks, S. Assessment and management of anovulatory infertility in polycystic ovary
249 syndrome. *Endocrinology and Metabolism Clinics* **32**, 639-651 (2003).
- 250 4 Bellver, J. *et al.* Polycystic ovary syndrome throughout a woman's life. *J Assist Reprod Genet*
251 **35**, 25-39, doi:10.1007/s10815-017-1047-7 (2018).
- 252 5 Escobar-Morreale, H. F. Polycystic ovary syndrome: definition, aetiology, diagnosis and
253 treatment. *Nature Reviews Endocrinology* **14**, 270 (2018).
- 254 6 Hooper, L. V., Midtvedt, T. & Gordon, J. I. How host-microbial interactions shape the nutrient
255 environment of the mammalian intestine. *Annual review of nutrition* **22**, 283-307 (2002).
- 256 7 Fernandes, G. *et al.* Enterotypes of the human gut microbiome. *Nature* **473**, 174180 (2011).
- 257 8 Wagner, B. D. *et al.* On the use of diversity measures in longitudinal sequencing studies of
258 microbial communities. *Frontiers in microbiology* **9**, 1037 (2018).
- 259 9 Tremellen, K. & Pearce, K. Dysbiosis of Gut Microbiota (DOGMA)—a novel theory for the
260 development of Polycystic Ovarian Syndrome. *Medical hypotheses* **79**, 104-112 (2012).
- 261 10 Kelley, S. T., Skarra, D. V., Rivera, A. J. & Thackray, V. G. The gut microbiome is altered in a
262 letrozole-induced mouse model of polycystic ovary syndrome. *PloS one* **11**, e0146509 (2016).
- 263 11 Lindheim, L. *et al.* Alterations in gut microbiome composition and barrier function are
264 associated with reproductive and metabolic defects in women with polycystic ovary syndrome
265 (PCOS): a pilot study. *PloS one* **12**, e0168390 (2017).
- 266 12 Guo, J. *et al.* Gut Microbiota in Patients with Polycystic Ovary Syndrome: a Systematic
267 Review. *Reproductive Sciences*, 1-15 (2021).
- 268 13 Wang, J.-W. *et al.* Fecal microbiota transplantation: review and update. *Journal of the*
269 *Formosan Medical Association* **118**, S23-S31 (2019).
- 270 14 Guo, Y. *et al.* Association between Polycystic Ovary Syndrome and Gut Microbiota. *PloS one*
271 **11**, e0153196, doi:10.1371/journal.pone.0153196 (2016).
- 272 15 Qi, X. *et al.* Gut microbiota–bile acid–interleukin-22 axis orchestrates polycystic ovary
273 syndrome. *Nature medicine* **25**, 1225-1233 (2019).
- 274 16 Kauffman, A. S. *et al.* A novel letrozole model recapitulates both the reproductive and
275 metabolic phenotypes of polycystic ovary syndrome in female mice. *Biology of reproduction*
276 **93**, 69, 61-12 (2015).
- 277 17 Chen, J., Zaman, A., Ramakrishna, B. & Olesen, S. W. Stool banking for fecal microbiota
278 transplantation: methods and operations at a large stool bank. *medRxiv* (2020).
- 279 18 Chong, J., Liu, P., Zhou, G. & Xia, J. Using MicrobiomeAnalyst for comprehensive statistical,
280 functional, and meta-analysis of microbiome data. *Nature Protocols* **15**, 799-821 (2020).
- 281 19 Schiebenhofer, H. *et al.* A complete and flexible workflow for metaproteomics data analysis
282 based on MetaProteomeAnalyzer and Prophan. *Nat Protoc* **15**, 3212-3239,
283 doi:10.1038/s41596-020-0368-7 (2020).
- 284 20 Xia, J. & Wishart, D. S. Web-based inference of biological patterns, functions and pathways
285 from metabolomic data using MetaboAnalyst. *Nature protocols* **6**, 743-760 (2011).
- 286 21 Bellver, J. *et al.* Polycystic ovary syndrome throughout a woman's life. *Journal of assisted*
287 *reproduction and genetics* **35**, 25-39 (2018).

288 22 Giampaolino, P. *et al.* Microbiome and PCOS: State-of-Art and Future Aspects. *International*
289 *journal of molecular sciences* **22**, 2048 (2021).
290